



**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT EXAMINING OPERATION

Applicants: Venkatram P. SHASTRI, et al.

Serial No: 10/668,484

Group Art Unit: 1615

Filed: September 22, 2003

Examiner: Not Yet Known

Att. Docket No.: T1118/20071

Confirmation No.: 2930

For: ENGINEERING OF MATERIAL SURFACES

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. No representation is made that the references are prior art with respect to this application.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a CPA under 37 CFR § 1.53(d), within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application, before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of an RCE under 37 CFR § 1.114. No certification or fee is required. 37 CFR § 1.97(b).

The Examiner's attention is directed to the co-pending U.S. Patent Application No. 10/427,242 by Chen et al., filed on May 01, 2003, cited on the attached Form(s) PTO-1449. The identification of such U.S. Patent Application is not to be construed as a waiver of secrecy as to such application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This application is a U.S. national patent application filed after June 30, 2003 or an international application that has entered the national stage under 35 U.S.C. § 371 after

Application No. 10/668,484  
IDS Dated February 20, 2004

June 30, 2003. Thus, a copy of each cited U.S. patent(s) and/or U.S. patent application(s) is not attached. 37 CFR 1.491(b).

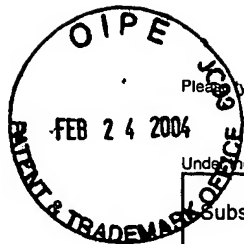
Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,  
COHEN & POKOTILOW, LTD.

February 20, 2004

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheet as necessary)

### Complete if Known

Application Number	10/668,484
Filing Date	September 22, 2003
First Named Inventor	Venkatram P. SHASTRI
Group Art Unit	1615
Examiner Name	Not Yet Known
Attorney Docket Number	T1118/20071

Sheet 1 of 2

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
		10/427,242		CHEN et al	11-06-2003
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office	Number	Kind Code (if known)			

### OTHER DOCUMENTS - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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DATE CONSIDERED

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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		Number	Kind Code (if known)				
		2002/0053557	A1	PETERSON et al.	05-09-2002		
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		Office	Number	Kind Code (if known)			
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Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					T
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